

# Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

▶ Go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9) for instructions and the latest information.

**1** Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.  
JOHNSON CONTROLS US HOLDINGS LLC

**2** Business name/disregarded entity name, if different from above  
JOHNSON CONTROLS FIRE PROTECTION LP (FEIN 58-2608861)

**3** Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only **one** of the following seven boxes.

Individual/sole proprietor or single-member LLC

C Corporation

S Corporation

Partnership

Trust/estate

Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ C

**Note:** Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is **not** disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.

Other (see instructions) ▶

**4** Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):

Exempt payee code (if any) 5

Exemption from FATCA reporting code (if any) E

*(Applies to accounts maintained outside the U.S.)*

**5** Address (number, street, and apt. or suite no.) See instructions.  
5757 N GREEN BAY AVE

**6** City, state, and ZIP code  
MILWAUKEE WI 53209

**7** List account number(s) here (optional)

Requester's name and address (optional)

## Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

**Note:** If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

**Social security number**

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**or**

**Employer identification number**

8	2	-	4	1	7	6	1	0	7
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## Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

**Sign Here** Signature of U.S. person ▶  Date ▶ 1/7/2021

## General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments.** For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9).

## Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

*If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.*

October 22, 2019

**Re: Clarification of Johnson Controls Fire Protection LP's W-9**

Dear Sir/Madam:

We provide this letter to address any confusion around the W-9 submitted Johnson Controls Fire Protection LP. This is the entity with whom you have contracted, the entity providing products and services to you, and the entity billing you.

However, you may have noticed that the W-9 lists the name of Johnson Controls US Holdings LLC in Line 1. It also lists the FEIN of Johnson Controls US Holdings LL in Part 1. The reason for this is below.

Johnson Controls Fire Protection LP is a limited partnership that is owned by multiple single-member LLCs that are all disregarded for income tax purposes. As such, the IRS treats Johnson Controls Fire Protection LP as a disregarded entity (in other words, a division of its corporate parent company) for income tax purposes. Because of this, **the IRS requires that we state on ALL W-9 forms the name and FEIN of our corporate parent that actually files the federal tax return.**

The language below is taken directly from the IRS website. As you can see, we are required by IRS rules to provide our corporate parent's FEIN and cannot issue a W-9 with the FEIN of Johnson Controls Fire Protection LP in Part 1. Nor can we list the name Johnson Controls Fire Protection LP in Line 1, despite the fact that this is the legal entity with whom you are dealing.

**Taxpayer Identification Number**

- For federal income tax purposes, a single-member LLC classified as a disregarded entity generally must use the owner's social security number (SSN) or EIN for all information returns and reporting related to income tax. For example, if a
- disregarded entity LLC that is owned by an individual is required to provide a Form W-9, Request for Taxpayer Identification Number and Certification, the W-9 should provide the owner's SSN or EIN, not the LLC's EIN.

*Source: [www.irs.gov](http://www.irs.gov)*

Johnson Controls Fire Protection LP is listed on the second line (as directed by the IRS). For cross-reference purposes within your AP systems, we have also listed Johnson Controls Fire Protection LP's FEIN (58-2608861) on line 2 of the W-9.

**EFFECT OF THE W-9**

Since the parent entity of Johnson Controls Fire Protection LP is a valid (SubChapter C) corporation, the W-9 certification operates to justify your reporting treatment as follows:

1. Payments made to Johnson Controls Fire Protection LP are NOT subject to 1099 reporting;
2. Payments made to Johnson Controls Fire Protection LP are NOT subject to IRS Back-Up Withholding; and
3. Payments made to Johnson Controls Fire Protection LP are NOT subject to FATCA reporting.

Please note that the address listed on the W-9 represents the formal location of our organization's books and records. However, this W-9 certification applies equally to ALL operational addresses/offices maintained by Johnson Controls Fire Protection LP.

If you have further questions, please consult your tax team.

# Delaware

Page 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE SAID "SIMPLEXGRINNELL LP", FILED A CERTIFICATE OF AMENDMENT, CHANGING ITS NAME TO "JOHNSON CONTROLS FIRE PROTECTION LP" ON THE THIRTEENTH DAY OF JUNE, A.D. 2017, AT 6:25 O`CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE AFORESAID LIMITED PARTNERSHIP IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE NOT HAVING BEEN CANCELLED OR REVOKED SO FAR AS THE RECORDS OF THIS OFFICE SHOW AND IS DULY AUTHORIZED TO TRANSACT BUSINESS.



  
Jeffrey W. Bullock, Secretary of State

3365184 8320  
SR# 20174797607

Authentication: 202724857  
Date: 06-16-17

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "SIMPLEXGRINNELL LP", CHANGING ITS NAME FROM "SIMPLEXGRINNELL LP" TO "JOHNSON CONTROLS FIRE PROTECTION LP", FILED IN THIS OFFICE ON THE THIRTEENTH DAY OF JUNE, A.D. 2017, AT 6:25 O`CLOCK P.M.



3365184 8100  
SR# 20174736211

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature in black ink, appearing to read "JBULLOCK", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Jeffrey W. Bullock, Secretary of State

Authentication: 202723149  
Date: 06-16-17

CINCINNATI OH 45999-0046

In reply refer to: 0223543094  
Aug. 18, 2017 LTR 252C 0  
58-2608861 000000 00  
00003551  
BODC: LM

JOHNSON CONTROLS FIRE PROTECTION LP  
50 TECHNOLOGY DR  
WESTMINSTER MA 01441



025903

Taxpayer Identification Number: 58-2608861

Dear Taxpayer:

Thank you for the inquiry dated June 22, 2017.

We have changed the name on your account as requested. The number shown above is valid for use on all tax documents.

If you need forms, schedules, or publications, you may get them by visiting the IRS website at [www.irs.gov](http://www.irs.gov) or by calling toll-free at 1-800-TAX-FORM (1-800-829-3676).

If you have any questions, please call us toll free at 1-800-829-0115.

If you prefer, you may write to us at the address shown at the top of the first page of this letter.

Whenever you write, please include this letter and, in the spaces below, give us your telephone number with the hours we can reach you. Also, you may want to keep a copy of this letter for your records.

Telephone Number ( ) \_\_\_\_\_ Hours \_\_\_\_\_

Sincerely yours,



Shermett L. Hayes, Operations Mgr.  
Doc. Perfection Operation

Enclosure(s):  
Copy of this letter